

# FICA

Fax, Post or email to:  
Gryphon Collective Investments (RF) (Pty) Ltd  
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## Information required by the Financial Intelligence Centre Act, No. 38 of 2001

### LEGAL PERSONS – Deceased / Liquidated estates

In terms of the Financial Intelligence Centre Act, No 38 of 2001 (FICA) all Financial Institutions have to identify and verify client information. The information has to be provided by all prospective clients before entering into a business relationship or concluding a single transaction.

Kindly complete the applicable sections set out below, being additional information required in terms FICA. The completed form must accompany the application form together with all requested copies of documentation, to enable us to process the application.

#### COPIES OF THE FOLLOWING DOCUMENTATION MUST BE SUBMITTED WITH THE APPLICATION FORM:

##### Deceased / Insolvent Estates

- Copy of **ID or passport** of the deceased
- Copy of **Death certificate** of the deceased
- Copy of **ID or passport** of **executor / liquidator**
- **Proof** of appointment of **Executor/Liquidator** by **Master of the Supreme Court**
- **Bank Statement** or cancelled cheque reflecting proof of banking details of **estate**
- **Due diligence for Executor /Liquidator:-**
  - If **individuals**, as per individual requirements [Copy of **ID or passport** and verification of residential address via **utility bill** – not older than 3 months];
  - If **legal entities**, as per requirements for the legal entity as per the type to the level of ultimate **beneficial owner / Beneficiary**

### SECTION A

Complete details concerning each natural person who's authorized to act on behalf of the legal person:

#### Liquidator / Executor

Surname				Title	
First Names					
Nationality			ID/Passport Number		
Residential Address					
				Postal Code	
Telephone Numbers	Primary		Business		
	Cell		Fax		
E-mail Address					

#### Deceased or Insolvent Estate

Surname				Title	
First Names					
Nationality			ID/Passport Number		
Residential Address					
				Postal Code	
Telephone Numbers	Primary		Business		
	Cell		Fax		
E-mail Address					

**Authorised / Mandated Person No 1**

Surname				Title	
First Names					
Nationality			ID/Passport Number		
Residential Address					
				Postal Code	
Telephone Numbers	Primary			Business	
	Cell			Fax	
E-mail Address					

**Authorised / Mandated Person No 2**

Surname				Title	
First Names					
Nationality			ID/Passport Number		
Residential Address					
				Postal Code	
Telephone Numbers	Primary			Business	
	Cell			Fax	
E-mail Address					

If there are more than 2 Authorised persons, please supply the above due diligence information in a separate schedule and submit with this FICA detail

**SIGNATURE OF INVESTOR / AUTHORISED PERSON**

The Client warrants that all statements made and information particulars supplied by him/her/it or on his/her/its behalf in this addendum to the application form are true and correct.

Signed at: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Investor (Authorized/Mandated official)

**SIGNATURE OF FINANCIAL / INVESTMENT ADVISOR (IF ANY)**

The Financial / Investment Adviser warrants that he/she has taken reasonable steps to establish and verify the identity of the client and any other person required/involved in the establishment of the business relationship or single transaction.

Name of Financial / Investment Advisor: \_\_\_\_\_

Financial / Investment Advisor code: \_\_\_\_\_

Signature of Financial / Investment Advisor: \_\_\_\_\_

Date: \_\_\_\_\_