

# FICA

Fax, Post or email to:  
Gryphon Collective Investments (RF) (Pty) Ltd  
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## Information required by the Financial Intelligence Centre Act, No. 38 of 2001

### NATURAL PERSONS (INCLUDING FOREIGN NATIONALS)

In terms of the Financial Intelligence Centre Act, No 38 of 2001 (FICA) all Financial Institutions have to identify and verify client information. The information has to be provided by all prospective clients before entering into a business relationship or concluding a single transaction.

Kindly complete the applicable sections set out below, being additional information required in terms FICA. The completed form must accompany the application form together with all requested copies of documentation, to enable us to process the application.

#### Copies of the following documentation must be submitted with the application form:

- **Copy of Identity Document or Passport of the investor and the person assisting the investor**
- **One** of the following **documents** reflecting the **name and residential address of the investor**:
  - Utility bill (less than 3 months old); or
  - Bank statement (less than 3 months old); or
  - A lease or rental agreement; or
  - Mortgage statement (less than 3 months old); or
  - Telkom account; or
  - SARS tax return/IRP5; or
  - Correspondence from a body corporate or share-block association; or
  - Television license document; or
  - The person's payslip/salary advice; or
  - Motor vehicle license document; or
  - Declaration before a commissioner of oaths stating confirming your residential address
- **Bank Statement or cancelled cheque reflecting proof of banking details**

#### For Minors the following documentation must be submitted with the application form:

- Copy of Identity Document for both parents
- Proof of address in parent's name
- Birth certificate(s) of the child or children
- In cases where the child is an adult and still living with his parents, we request the parent to obtain a certified letter or affidavit stating that the child is still living with them.

### SIGNATURE OF INVESTOR

The Client warrants that all information and particulars supplied by him/her or on his/her behalf in this addendum to the application form are true and correct.

Signed at:  Date:

Signature of Investor  Signature of person assisting Investor

### SIGNATURE OF FINANCIAL / INVESTMENT ADVISOR (IF ANY)

The Financial / Investment Adviser warrants that he/she has taken reasonable steps to establish and verify the identity of the client and any other person required/involved in the establishment of the business relationship or single transaction.

Name of Financial / Investment Advisor: \_\_\_\_\_

Financial / Investment Advisor code: \_\_\_\_\_

Signature of Financial / Investment Advisor: \_\_\_\_\_

Date: \_\_\_\_\_